

## INTEGRAL CLOSURE OF A FILTRATION RELATIVE TO A NOETHERIAN MODULE

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ABSTRACT. Let  $M$  be a Noetherian  $R$ -module. In this paper we will introduce the integral closure of a filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  relative to the Noetherian module  $M$  and prove some related results. The integral closure of a filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  relative to  $M$  is a filtration and it has an interesting relationship with the integral closure of the filtration  $\tilde{\mathcal{F}} = \{\tilde{I}_n\}_{n \geq 0}$ , where  $\tilde{I}_n$  is the image of  $I_n$  under the natural ring homomorphism  $R \rightarrow R/(Ann_R(M))$  for every  $n \geq 0$ .

### 1. INTRODUCTION

Throughout this paper  $R$  denotes a commutative ring with identity. Further  $\mathbf{N}$  and  $\mathbf{N}_0$  will denote the set of natural integers and non-negative integers respectively. Also  $\mathbf{Z}$  will denote the set of integer numbers.

The ideas of reduction and integral closure of an ideal in a commutative Noetherian ring  $A$  (with identity) were introduced by Northcott and Rees in [2]. It is appropriate for us to recall these definitions.

Let  $I$  and  $J$  be ideals of a commutative Noetherian ring  $A$ . The ideal  $I$  is a reduction of the ideal  $J$  if  $I \subseteq J$  and there exists an integer  $n \in \mathbf{N}$  such that  $IJ^n = J^{n+1}$ . Also an element  $x$  of  $A$  is said to be integrally dependent on  $I$  if there exist a positive integer  $n$  and elements  $c_k \in I^k$ ,  $k = 1, \dots, n$ , such that

$$x^n + c_1x^{n-1} + \dots + c_{n-1}x + c_n = 0.$$

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We know from [2],  $x \in A$  is integrally dependent on  $I$  if and only if  $I$  is a reduction of the ideal  $I + Rx$ . Further, we know that the set of all elements of  $A$  which are integrally dependent on  $I$  is an ideal of  $A$ . This ideal is called the integral closure of  $I$  and denoted by  $I^-$ .

Now let  $M$  be a Noetherian  $R$ -module. In [5], Sharp, Tiraş and Yassi introduced concepts of reduction and integral closure of an ideal  $I$  of a commutative ring  $R$  relative to a Noetherian  $R$ -module  $M$ .

Let  $I$  and  $J$  be ideals of  $R$ . The ideal  $I$  is said to be a reduction of the ideal  $J$  relative to  $M$ , if  $I \subseteq J$  and there exists an integer  $n \in \mathbf{N}$  such that  $IJ^nM = J^{n+1}M$ . Also an element  $x$  of  $R$  is said to be integrally dependent on  $I$  relative to a Noetherian  $R$ -module  $M$ , if there exists a positive integer  $n$  such that

$$x^n M \subseteq \sum_{i=1}^n x^{n-i} I^i M.$$

We know from [5], an element  $x$  of  $R$  is integrally dependent on  $I$  relative to a Noetherian  $R$ -module  $M$ , if and only if  $I$  is a reduction of the ideal  $I + Rx$  relative to  $M$ . Moreover in [5], it is shown that the set of all elements of  $R$  which are integrally dependent on  $I$  relative to  $M$  is an ideal of  $R$ . This is denoted by  $I^{-[M]}$  and is called the integral closure of  $I$  relative to  $M$ .

Here, we give some definitions and notations which will be helpful for us in the rest of the paper.

A filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  on  $R$  is a descending sequence of ideals  $I_n$  of  $R$  such that  $I_0 = R$  and  $I_n I_m \subseteq I_{n+m}$  for all  $n, m \in \mathbf{N}_0$ . Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$  be two filtrations. We say  $\mathcal{F} \leq \mathcal{G}$  if  $I_n \subseteq J_n$  for all  $n$ . Also two filtrations  $\{\sum_{i=0}^n I_{n-i} J_i\}_{n \geq 0}$  and  $\{I_n J_n\}_{n \geq 0}$  are denoted by  $\mathcal{F} + \mathcal{G}$  and  $\mathcal{F}\mathcal{G}$  respectively.

The integral closure of a filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  is defined in [3]. For every  $n \geq 0$ , let  $J_n$  be the set of all  $x \in R$  such that  $x$  satisfies an equation

$$x^m + a_1 x^{m-1} + \cdots + a_{m-1} x + a_m = 0$$

for a positive integer  $m$  and elements  $a_i \in I_{ni}$ . Then  $\mathcal{F}^- = \{J_n\}_{n \geq 0}$  is a filtration such that  $\mathcal{F} \leq \mathcal{F}^-$ . In fact, the integral closure of  $\bigoplus_{n \geq 0} I_n t^n$  in  $R[t]$  is the  $\mathbf{N}_0$ -graded ring,  $\bigoplus_{n \geq 0} J_n t^n$ .

In this paper we will introduce the integral closure of a filtration relative to a Noetherian module and study some related topics.

## 2. REDUCTION OF A FILTRATION RELATIVE TO A NOETHERIAN MODULE

In this section we introduce the reduction of a filtration relative to a Noetherian module and prove some of its properties.

**Definition 2.1.** (See [4, 2.1.3].) Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$  be filtrations on  $R$ .  $\mathcal{F}$  is said to be a reduction of  $\mathcal{G}$  if  $\mathcal{F} \leq \mathcal{G}$  and there exists a positive integer  $d$  such that

$$J_n = \sum_{i=0}^d I_{n-i} J_i \quad \text{for every } n \geq 1.$$

Here, and throughout this paper,  $I_i = R$  if  $i \leq 0$ .

Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$ . We know from [4], the graded subring  $R[t^{-1}, I_1 t, I_2 t^2, \dots]$  of  $R[t, t^{-1}]$  is called the Rees ring of  $R$  with respect to the filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and denoted by  $\mathcal{R}(R, \mathcal{F})$ . For an  $R$ -module  $M$  the set

$$M[t^{-1}, I_1 t, I_2 t^2, \dots] = \left\{ \sum_{j=r}^s m_j t^j \in M[t, t^{-1}] : m_j \in I_j M, r, s \in \mathbf{Z} \right\}$$

is shown by  $\mathcal{R}(M, \mathcal{F})$ . We know  $\mathcal{R}(M, \mathcal{F})$  is a graded  $\mathcal{R}(R, \mathcal{F})$ -module by the following scalar multiplication

$$\left( \sum_{i=n}^m a_i t^i \right) \left( \sum_{j=r}^s m_j t^j \right) = \sum_{i=n}^m \sum_{j=r}^s a_i m_j t^{i+j}$$

for every  $\sum_{i=n}^m a_i t^i \in \mathcal{R}(R, \mathcal{F})$  and  $\sum_{j=r}^s m_j t^j \in \mathcal{R}(M, \mathcal{F})$ .

Now let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$  be filtrations on  $R$  such that every ideal  $J_n$  is finitely generated. We know from [4, 2.3],  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  if and only if  $\mathcal{R}(R, \mathcal{G})$  is a finitely generated  $\mathcal{R}(R, \mathcal{F})$ -module.

**Definition 2.2.** (See [4, 2.1.4].) Let  $R$  be a Noetherian ring and  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$ . If there exists a positive integer  $d$  such that

$$I_n = \sum_{i=1}^d I_{n-i} I_i \quad \text{for every } n \geq 1$$

then the filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  is said a Noetherian filtration.

We know from [4, 2.2.1], a filtration  $\mathcal{F} = \{I_n\}_{n \geq 0}$  on  $R$  is a Noetherian filtration if and only if  $\mathcal{R}(R, \mathcal{F})$  is a Noetherian ring.

**Definition 2.3.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$  be filtrations on  $R$  and let  $M$  be a Noetherian  $R$ -module. Then  $\mathcal{F}$  is said to be a reduction of  $\mathcal{G}$  relative to  $M$  if  $\mathcal{F} \leq \mathcal{G}$  and there exists a positive integer  $d$  such that

$$J_n M = \sum_{i=0}^d I_{n-i} J_i M \quad \text{for every } n \geq 1.$$

**Theorem 2.4.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$  be filtrations on  $R$  and let  $\mathcal{F} \leq \mathcal{G}$ . Let  $M$  be a Noetherian  $R$ -module. Then  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$  if and only if  $\mathcal{R}(M, \mathcal{G})$  is a finitely generated  $\mathcal{R}(R, \mathcal{F})$ -module.

**Proof.** ( $\Rightarrow$ ) Since  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$  there exists a positive integer  $d$  such that

$$J_n M = \sum_{i=0}^d I_{n-i} J_i M \quad \text{for every } n \geq 1.$$

Then  $\mathcal{R}(R, \mathcal{F})$ -module  $\mathcal{R}(M, \mathcal{G})$  is generated by  $J_0 M, J_1 M, \dots, J_d M$ . Since  $M$  is a Noetherian  $R$ -module,  $J_0 M, J_1 M, \dots, J_d M$  are finitely generated as an  $R$ -module. This shows  $\mathcal{R}(M, \mathcal{G})$  is a finitely generated  $\mathcal{R}(R, \mathcal{F})$ -module.

( $\Leftarrow$ ) Let  $\{\alpha_1, \dots, \alpha_s\}$  be a finitely generator for  $\mathcal{R}(R, \mathcal{F})$ -module  $\mathcal{R}(M, \mathcal{G})$ . By adding the appropriate zero homogeneous component, we can assume that

$$\alpha_t = a_{t1} m_{t1} + \dots + a_{tk} m_{tk}$$

where  $a_{t1} \in J_1, \dots, a_{tk} \in J_k$  and  $m_{t1}, \dots, m_{tk} \in M$  for every  $1 \leq t \leq s$ . This is clear that  $\mathcal{R}(R, \mathcal{F})$ -module  $\mathcal{R}(M, \mathcal{G})$  can be generated by all homogeneous components  $a_{ti} m_{ti}$  for every  $1 \leq t \leq s$  and  $1 \leq i \leq k$ .

Now let  $x \in J_n M$ . Then we can see,  $x = \sum_{t=1}^s \sum_{i=1}^k r_{ti} a_{ti} m_{ti}$  where  $r_{ti} \in$

$I_{n-i}$ . This shows that  $J_n M \subseteq \sum_{i=0}^k I_{n-i} J_i M$ . Now the proof is completed because the inverse inclusion is clear.  $\square$

**Corollary 2.5.** Let  $\mathcal{F}, \mathcal{G}, \mathcal{H}$ , and  $\mathcal{K}$  be filtrations on a Noetherian ring  $R$  and let  $M$  be a Noetherian  $R$ -module.

- (a) If  $\mathcal{F}$  is a reduction of  $\mathcal{H}$  relative to  $M$  and  $\mathcal{G}$  is a reduction of  $\mathcal{K}$  relative to  $M$  then  $\mathcal{F} + \mathcal{G}$  is a reduction of  $\mathcal{H} + \mathcal{K}$  relative to  $M$ .
- (b) If  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$  and also a reduction of  $\mathcal{K}$  relative to  $M$  then  $\mathcal{F}$  is a reduction of  $\mathcal{G} + \mathcal{K}$  relative to  $M$ .
- (c) If  $\mathcal{F}$  is a reduction of  $\mathcal{H}$  relative to  $M$  and  $\mathcal{F} \leq \mathcal{G} \leq \mathcal{H}$  then  $\mathcal{G}$  is a reduction of  $\mathcal{H}$  relative to  $M$ . Further if  $\mathcal{F}$  is a Noetherian filtration then  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$ .

**Proof.** (a) Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$ ,  $\mathcal{G} = \{J_n\}_{n \geq 0}$ ,  $\mathcal{H} = \{H_n\}_{n \geq 0}$ , and  $\mathcal{K} = \{K_n\}_{n \geq 0}$ . We can see

$$\mathcal{R}(R, \mathcal{F} + \mathcal{G}) = R[u, I_1t, J_1t, I_2t^2, J_2t^2, \dots]$$

and

$$\mathcal{R}(M, \mathcal{H} + \mathcal{K}) = R[u, H_1t, K_1t, H_2t^2, K_2t^2, \dots].$$

Since  $\mathcal{F}$  is a reduction of  $\mathcal{H}$  relative to  $M$ ,  $\mathcal{R}(M, \mathcal{H})$  is a finitely generated  $\mathcal{R}(R, \mathcal{F})$ -module by 2.4. Similarly  $\mathcal{R}(M, \mathcal{K})$  is a finitely generated  $\mathcal{R}(R, \mathcal{G})$ -module. Now we can see  $\mathcal{R}(M, \mathcal{H} + \mathcal{K})$  is a finitely generated  $\mathcal{R}(R, \mathcal{F} + \mathcal{G})$ -module and so the claim follows from 2.4.

(b) It is clear by (a) since  $\mathcal{F} + \mathcal{F} = \mathcal{F}$ .

(c) The first part follows from 2.4. Now let  $\mathcal{F}$  be a Noetherian filtration. Then by [4, 2.2.1],  $\mathcal{R}(R, \mathcal{F})$  is Noetherian. Since  $\mathcal{F}$  is a reduction of  $\mathcal{H}$  relative to  $M$ ,  $\mathcal{R}(M, \mathcal{H})$  is a finitely generated module over the Noetherian ring  $\mathcal{R}(R, \mathcal{F})$ . Therefore  $\mathcal{R}(M, \mathcal{G})$  is a finitely generated  $\mathcal{R}(R, \mathcal{F})$ -module. Then  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$  by 2.4.  $\square$

**Remark 2.6.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$  be filtrations on  $R$  and let  $M$  be an  $R$ -module. Let  $\mathcal{F}$  be a reduction of  $\mathcal{G}$  relative to  $M$ . Then there exists a positive integer  $d$  such that

$$J_n M = \sum_{i=0}^d I_{n-i} J_i M \quad \text{for every } n \geq 1.$$

Let  $d < d'$ . Since  $\sum_{i=d+1}^{d'} I_{n-i} J_i M \subseteq J_n M$ , we have

$$J_n M = \sum_{i=0}^d I_{n-i} J_i M + \sum_{i=d+1}^{d'} I_{n-i} J_i M = \sum_{i=0}^{d'} I_{n-i} J_i M.$$

**Lemma 2.7.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$ ,  $\mathcal{G} = \{J_n\}_{n \geq 0}$ ,  $\mathcal{K} = \{K_n\}_{n \geq 0}$ , and  $\mathcal{H} = \{H_n\}_{n \geq 0}$  be filtrations on  $R$  and let  $M$  be an  $R$ -module.

- (a) If  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$  and  $\mathcal{K}$  is a reduction of  $\mathcal{H}$  relative to  $M$  then  $\mathcal{F}\mathcal{K}$  is a reduction of  $\mathcal{G}\mathcal{H}$  relative to  $M$ .

(b) *If  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$  and  $\mathcal{G}$  is a reduction of  $\mathcal{K}$  relative to  $M$  then  $\mathcal{F}$  is a reduction of  $\mathcal{K}$  relative to  $M$ .*

**Proof.** (a) Let  $\mathcal{F}$  be a reduction of  $\mathcal{G}$  relative to  $M$  and also  $\mathcal{K}$  be a reduction of  $\mathcal{H}$  relative to  $M$ . By 2.6, we can choose a positive integer  $d$  such that

$$J_n M = \sum_{i=0}^d I_{n-i} J_i M \quad \text{and} \quad H_n M = \sum_{i=0}^d K_{n-i} H_i M$$

for every  $n \geq 1$ . Now we have

$$J_n H_n M = \sum_{i=0}^d I_{n-i} J_i H_n M = \sum_{i=0}^d I_{n-i} J_i \left( \sum_{i=0}^d K_{n-i} H_i M \right).$$

Since for every  $1 < t < d$  we have

$$I_{n-t} J_t \subseteq I_{n-t-1} J_{t-1} \subseteq \cdots \subseteq I_{n-1} J_1$$

and

$$K_{n-t} H_t \subseteq K_{n-t-1} H_{t-1} \subseteq \cdots \subseteq K_{n-1} H_1,$$

we can see that

$$J_n H_n M = \sum_{i=0}^d I_{n-i} J_i \left( \sum_{i=0}^d K_{n-i} H_i M \right) \subseteq \sum_{i=0}^d I_{n-i} K_{n-i} J_i H_i M$$

for every  $n \geq 1$ . Now (a) is clear because the inverse inclusion is clear.

(b) Since  $\mathcal{G}$  is a reduction of  $\mathcal{K}$  relative to  $M$ , there exists a positive integer  $d$  such that

$$K_n M = \sum_{i=0}^d J_{n-i} K_i M \quad \text{for every } n \geq 1.$$

Now since  $\mathcal{F}$  is a reduction of  $\mathcal{G}$  relative to  $M$ , there exists a positive integer  $d'$  such that for every  $n - i$  we have  $J_{n-i} M = \sum_{t=0}^{d'} I_{n-i-t} J_t M$ .

This shows that

$$\begin{aligned} K_n M &= \sum_{i=0}^d K_i \sum_{t=0}^{d'} I_{n-i-t} J_t M \\ &\subseteq \sum_{i=0}^d K_i \sum_{t=0}^{d'} I_{n-i-t} K_t M \\ &\subseteq \sum_{i=0}^d \sum_{t=0}^{d'} I_{n-i-t} K_{i+t} M \end{aligned}$$

and this shows that

$$K_n M \subseteq \sum_{i=0}^{d+d'} I_{n-i} K_i M \quad \text{for every } n \geq 1.$$

Now the proof is completed because the inverse inclusion is clear.  $\square$

### 3. INTEGRAL CLOSURE OF A FILTRATION RELATIVE TO A NOETHERIAN MODULE

In this section we define the integral closure of a filtration relative to a Noetherian module and prove some of its properties. For this, we introduce a useful notation.

**Remark 3.1.** Let  $M$  be a Noetherian  $R$ -module. In the remainder of this paper, as shown in [5], the commutative Noetherian ring  $R/Ann_R(M)$  is denoted by  $\tilde{R}$ . Further for every ideal  $I$  of  $R$ , the ideal  $I + Ann_R(M)/Ann_R(M)$  of  $\tilde{R}$  is denoted by  $\tilde{I}$ . Also an element  $x + Ann_R(M) \in R/Ann_R(M)$  is denoted by  $\tilde{x}$ . If  $\mathcal{F} = \{I_n\}_{n \geq 0}$  is a filtration of ideals of  $R$  then the filtration  $\{\tilde{I}_n\}_{n \geq 0}$  of ideals of  $\tilde{R}$  is denoted by  $\tilde{\mathcal{F}}$ .

**Theorem 3.2.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$  and let  $M$  be a Noetherian  $R$ -module. For every  $n \geq 0$ , we assume that  $J_n$  contains all  $x \in R$  such that

$$x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M$$

for a positive integer  $k$ . Further we assume that the integral closure of filtration  $\tilde{\mathcal{F}}$  on  $\tilde{R}$  be  $(\tilde{\mathcal{F}})^- = \{\tilde{K}_n\}_{n \geq 0}$ . Then  $x \in J_n$  if and only if  $\tilde{x} \in \tilde{K}_n$ .

**Proof.** ( $\Leftarrow$ ) Let  $\tilde{x} \in \tilde{K}_{n \geq 0}$ . Then there exist a positive integer  $k$  and elements  $\tilde{a}_i \in \tilde{I}_{ni}$ ,  $i = 1, \dots, k$ , such that

$$\tilde{x}^k + \tilde{a}_1 \tilde{x}^{k-1} + \dots + \tilde{a}_{k-1} \tilde{x} + \tilde{a}_k = 0.$$

Now since  $M$  has natural structure as  $R/(0 :_R M)$ -module,

$$x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M.$$

( $\Rightarrow$ ) Since  $x \in J_n$ , there exists a positive integer  $k$ , such that

$$x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M.$$

Let  $L = \sum_{i=1}^k x^{k-i} I_{ni}$ . Since  $M$  is a Noetherian  $R$  module, by [1, 2.1], we can see that there exist an integer  $t \in \mathbf{N}$  and elements  $c_1, \dots, c_t \in R$  with  $c_j \in L^j \subseteq \sum_{i=j}^{kj} x^{kj-i} I_{ni}$  such that

$$x^{kt} + c_1 x^{k(t-1)} + \dots + c_{t-1} x^k + c_t \in (0 :_R M).$$

But for every  $1 \leq j \leq t$ , we have

$$\begin{aligned} c_j x^{k(t-j)} \in x^{k(t-j)} L^j &\subseteq x^{k(t-j)} \sum_{i=j}^{kj} x^{kj-i} I_{ni} = \sum_{i=j}^{kj} x^{kt-i} I_{ni} \\ &= x^{kt-j} I_{nj} + \dots + x^{kt-kj} I_{nkj}. \end{aligned}$$

This implies that

$$(\tilde{x})^{kt} \in \sum_{i=1}^{kt} (\tilde{x})^{kt-i} \tilde{I}_{ni}$$

and so  $\tilde{x} \in \tilde{K}_n$ .  $\square$

In the above theorem, let  $R$  be a Noetherian ring and let  $R$ -module  $M$  be  $R$ . Then  $\text{Ann}_R(R) = 0$  and in this case the above theorem is clear.

**Corollary 3.3.** *Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$  and let  $M$  be a Noetherian  $R$ -module. For every  $n \geq 0$ , we assume that  $J_n$  contains all  $x \in R$  such that*

$$x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M$$

for a positive integer  $k$ . Then  $\mathcal{G} = \{J_n\}_{n \geq 0}$  is a filtration on  $R$ .

**Proof.** It is clear that  $J_0 = R$ . By 3.2, we can see that  $J_n$  is an ideal of  $R$  for every  $n \in \mathbf{N}$ . Now let  $x \in J_n$  and  $y \in J_m$ . Also let the integral closure of filtration  $\tilde{\mathcal{F}}$  on  $\tilde{R}$  be  $(\tilde{\mathcal{F}})^- = \{\tilde{K}_n\}_{n \geq 0}$ . We know from 3.2,  $\tilde{x} \in \tilde{K}_n$  and  $\tilde{y} \in \tilde{K}_m$ . Since  $(\tilde{\mathcal{F}})^- = \{\tilde{K}_n\}_{n \geq 0}$  is a filtration, we see that  $\tilde{x}\tilde{y} = \tilde{x}\tilde{y} \in \tilde{K}_n\tilde{K}_m \subseteq \tilde{K}_{n+m}$ . Then  $xy \in J_{n+m}$  by 3.2.  $\square$



**Definition 3.4.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$  and let  $M$  be a Noetherian  $R$ -module. For every  $n$ , let  $J_n$  be the set of all  $x \in R$  such that

$$x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M$$

for a positive integer  $k$ . By 3.3,  $\{J_n\}_{n \geq 0}$  is a filtration on  $R$ . This filtration is denoted by  $\mathcal{F}^{-(M)}$  and is called the integral closure of filtration  $\mathcal{F}$  relative to  $M$ . We follow from 3.2,  $(\widetilde{\mathcal{F}^{-(M)}}) = (\widetilde{\mathcal{F}})^-$ .

**Remark 3.5.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$  and let  $M$  be a Noetherian  $R$ -module. Let for  $x \in R$  and a positive integer  $k$ , we have  $x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M$ . Then for every  $r \geq 0$  we have

$$x^{k+r} M \subseteq \sum_{i=1}^{k+r} x^{(k+r)-i} I_{ni} M.$$

**Theorem 3.6.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be filtrations on  $R$ . Then for every Noetherian  $R$ -module  $M$ , we have

- (a)  $\mathcal{F} \leq \mathcal{F}^{-(M)}$ ;
- (b) if  $\mathcal{F} \leq \mathcal{G}$  then  $\mathcal{F}^{-(M)} \leq \mathcal{G}^{-(M)}$ ;
- (c)  $(\mathcal{F}^{-(M)})^{-(M)} = \mathcal{F}^{-(M)}$ ;
- (d)  $\mathcal{F}^{-(M)} \mathcal{G}^{-(M)} \leq (\mathcal{F}\mathcal{G})^{-(M)}$ .

**Proof.** (a) and (b) are clear.

(c) Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$ ,  $\mathcal{F}^{-(M)} = \{J_n\}_{n \geq 0}$ , and  $(\mathcal{F}^{-(M)})^{-(M)} = \{K_n\}_{n \geq 0}$ . Let the integral closure of filtration  $\{\tilde{J}_n\}_{n \geq 0}$  of ideals  $\tilde{R}$  be the filtration  $(\{\tilde{J}_n\}_{n \geq 0})^- = \{\tilde{U}_n\}_{n \geq 0}$ . We know from 3.2,  $\{\tilde{K}_n\}_{n \geq 0} = \{\tilde{U}_n\}_{n \geq 0}$ . This shows

$$\{\tilde{K}_n\}_{n \geq 0} = (\{\tilde{J}_n\}_{n \geq 0})^- = ((\{\tilde{I}_n\}_{n \geq 0})^-)^-$$

By [3, 2.4(3)], we have  $((\{\tilde{I}_n\}_{n \geq 0})^-)^- = (\{\tilde{I}_n\}_{n \geq 0})^-$  and so  $\{\tilde{K}_n\}_{n \geq 0} = \{\tilde{J}_n\}_{n \geq 0}$ . Now since  $\text{Ann}_R(M) \subseteq J_n$  for every  $n \geq 0$ , we can see that  $(\mathcal{F}^{-(M)})^{-(M)} \leq \mathcal{F}^{-(M)}$ . But by (a), we have  $\mathcal{F}^{-(M)} \leq (\mathcal{F}^{-(M)})^{-(M)}$  and so  $(\mathcal{F}^{-(M)})^{-(M)} = \mathcal{F}^{-(M)}$ .

(d) Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  and  $\mathcal{G} = \{J_n\}_{n \geq 0}$ . Further let  $\mathcal{F}^{-(M)} = \{K_n\}_{n \geq 0}$ ,  $\mathcal{G}^{-(M)} = \{L_n\}_{n \geq 0}$ , and  $(\mathcal{F}\mathcal{G})^{-(M)} = \{H_n\}_{n \geq 0}$ . By 3.2, we know the integral closure of filtrations  $\{\tilde{I}_n\}_{n \geq 0}$ ,  $\{\tilde{J}_n\}_{n \geq 0}$ , and  $\{\tilde{I}_n \tilde{J}_n\}_{n \geq 0}$  of ideals  $\tilde{R}$  are  $(\{\tilde{I}_n\}_{n \geq 0})^- = \{\tilde{K}_n\}_{n \geq 0}$ ,  $(\{\tilde{J}_n\}_{n \geq 0})^- = \{\tilde{L}_n\}_{n \geq 0}$ , and  $(\{\tilde{I}_n \tilde{J}_n\}_{n \geq 0})^- = \{\tilde{H}_n\}_{n \geq 0}$  respectively. Since  $\tilde{R}$  is a Noetherian ring by [3, 2.4(4)], we have  $(\{\tilde{I}_n\}_{n \geq 0})^- (\{\tilde{J}_n\}_{n \geq 0})^- \leq (\{\tilde{I}_n \tilde{J}_n\}_{n \geq 0})^-$ . This

implies that  $\{\tilde{K}_n\}_{n \geq 0} \{\tilde{L}_n\}_{n \geq 0} \leq \{\tilde{H}_n\}_{n \geq 0}$ . Now since  $\text{Ann}_R(M) \subseteq H_n$  for every  $n \geq 0$ , we can conclude that  $\mathcal{F}^{-(M)}\mathcal{G}^{-(M)} \leq (\mathcal{F}\mathcal{G})^{-(M)}$ .  $\square$

**Proposition 3.7.** *Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a Noetherian filtration of ideals  $R$  and let  $M$  be a Noetherian  $R$ -module. Let  $\mathcal{F}^{-(M)} = \{J_n\}_{n \geq 0}$  be the integral closure of filtration  $\mathcal{F}$  relative to  $M$ . If the filtration  $\{\tilde{J}_n\}_{n \geq 0}$  is a Noetherian filtration on  $\tilde{R}$  then the filtration  $\mathcal{F}$  is a reduction of the filtration  $\mathcal{F}^{-(M)}$  relative to  $M$ .*

**Proof.** We know from [4, 2.8], that the filtration  $\tilde{\mathcal{F}} = \{\tilde{I}_n\}_{n \geq 0}$  is a reduction of the filtration  $(\tilde{\mathcal{F}})^- = \{\tilde{J}_n\}_{n \geq 0}$ . Then there exists a positive integer  $d$  such that

$$\tilde{J}_n = \sum_{i=0}^d \tilde{I}_{n-i} \tilde{J}_i \quad \text{for every } n \geq 1.$$

Now we can see that

$$J_n M = \sum_{i=0}^d I_{n-i} J_i M \quad \text{for every } n \geq 1$$

and this completes the proof.  $\square$

**Theorem 3.8.** *Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on  $R$  and let  $M$  be a Noetherian  $R$ -module. Let  $\mathcal{F}^{-(M)} = \{J_n\}_{n \geq 0}$ . Further for a non negative integer  $n$  and  $x \in R$ , let  $L_k = Rx^k + x^{k-1}I_{n1} + x^{k-2}I_{n2} + \cdots + xI_{n(k-1)} + I_{nk}$  and  $H_k = I_{nk}$ . Then  $x \in J_n$  if and only if the filtration  $\{H_k\}_{k \geq 0}$  is a reduction of filtration  $\{L_k\}_{k \geq 0}$  relative to  $M$ .*

**Proof.**  $(\Rightarrow)$  Let  $x \in J_n$ . Then there exists a positive integer  $k$  such that

$$x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M.$$

Since  $x^{k-i} I_{ni} \subseteq H_{k-(k-i)} L_{k-i}$  for every  $1 \leq i \leq k$ ,

$$\sum_{i=1}^k x^{k-i} I_{ni} M \subseteq \sum_{i=0}^k H_{k-(k-i)} L_{k-i} M = \sum_{i=0}^k H_{k-i} L_i M.$$

But  $x^k M \subseteq \sum_{i=1}^k x^{k-i} I_{ni} M$  and so

$$(Rx^k + x^{k-1}I_{n1} + x^{k-2}I_{n2} + \cdots + xI_{n(k-1)} + I_{nk})M = L_k M \subseteq \sum_{i=0}^k H_{k-i} L_i M.$$

It is easy to see that  $\sum_{i=0}^k H_{k-i}L_iM \subseteq L_kM$ . Then  $L_kM = \sum_{i=0}^k H_{k-i}L_iM$ . Now, we will show that

$$L_tM = \sum_{i=0}^k H_{t-i}L_iM \quad \text{for every } t \geq 1.$$

First let  $t < k$ . Since  $t < k$ ,

$$L_tM = H_0L_tM \subseteq \sum_{i=0}^k H_{t-i}L_iM.$$

Also we know  $\sum_{i=0}^k H_{t-i}L_iM \subseteq L_tM$ . Thus we have

$$L_tM = \sum_{i=0}^k H_{t-i}L_iM \quad \text{for every } t \leq k-1.$$

Now let  $t > k$ . This is clear that

$$\sum_{i=0}^k H_{t-i}L_iM = \sum_{i=0}^k x^i I_{n(t-i)}M.$$

Since  $x^kM \subseteq \sum_{i=1}^k x^{k-i}I_{ni}M$ , we can see that

$$x^{k+r}M \subseteq \sum_{i=1}^k x^{k-i}I_{n(r+i)}M.$$

But by

$$\begin{aligned} x^{k+r}I_{n(t-(k+r))}M &\subseteq \sum_{i=1}^k x^{k-i}I_{n(r+i)}I_{n(t-(k+r))}M \\ &\subseteq \sum_{i=1}^k x^{k-i}I_{n(t-k+i)}M \subseteq \sum_{i=0}^k x^i I_{n(t-i)}M \end{aligned}$$

we have

$$\begin{aligned} L_tM &= (x^t + x^{t-1}I_{n1} + \cdots + x^{k+1}I_{n(t-(k+1))} + x^k I_{n(t-k)} + \cdots + x I_{n(t-1)} + I_{nt})M \\ &\subseteq \sum_{i=0}^k H_{t-i}L_iM \end{aligned}$$

and this implies that

$$L_t M = \sum_{i=0}^k H_{t-i} L_i M \quad \text{for every } t \geq 1.$$

( $\Leftarrow$ ) Let  $\{H_k\}_{k \geq 0}$  be a reduction of filtration  $\{L_k\}_{k \geq 0}$  relative to  $M$ . Then there exists a positive integer  $d$  such that

$$L_k M = \sum_{i=0}^d H_{k-i} L_i M \quad \text{for every } k \geq 1.$$

So we can assume that  $L_{d+1} M = \sum_{i=0}^d H_{((d+1)-i)} L_i M$ . Now since

$$L_{d+1} M = \sum_{i=0}^d H_{((d+1)-i)} L_i M \subseteq \sum_{i=0}^d x^{(d-i)} I_{n(i+1)} M = \sum_{i=1}^{d+1} x^{((d+1)-i)} I_{ni} M,$$

we have  $x^{d+1} M \subseteq \sum_{i=1}^{d+1} x^{((d+1)-i)} I_{ni} M$ . Hence  $x \in J_n$ .  $\square$

**Definition 3.9.** (See [3, 3.1(2)].) Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on a Noetherian ring  $R$  and  $\mathcal{F}^- = \{J_n\}_{n \geq 0}$ . Members of

$$A^-(\mathcal{F}) = \{P : P \in \text{Ass}(R/J_n) \text{ for some } n \geq 1\}$$

are called the asymptotic prime divisors of  $\mathcal{F}$ .

**Definition 3.10.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a filtration on a Noetherian ring  $R$  and  $M$  be a Noetherian  $R$ -module. Let  $\mathcal{F}^{-(M)} = \{J_n\}_{n \geq 0}$ . Members of

$$A^-(\mathcal{F}, M) = \{P : P \in \text{Ass}(R/J_n) \text{ for some } n \geq 1\}$$

are called the asymptotic prime divisors of  $\mathcal{F}$  relative to  $M$ .

**Remark 3.11.** Let  $\mathcal{F} = \{I_n\}_{n \geq 0}$  be a Noetherian filtration of ideals of  $R$  and let  $M$  be a Noetherian  $R$ -module. Then  $A^-(\mathcal{F}, M)$  is a finite set.

**Proof.** It is easy to see that  $P \in A^-(\mathcal{F}, M)$  if and only if  $\tilde{P} \in A^-(\tilde{\mathcal{F}})$ . Since  $\tilde{\mathcal{F}}$  is a Noetherian filtration on Noetherian ring  $\tilde{R}$ , we know from [3, 3.3(2)], that  $A^-(\tilde{\mathcal{F}})$  is a finite set and this completes the proof.  $\square$

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