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# $\sigma$ -SPORADIC PRIME IDEALS AND SUPERFICIAL ELEMENTS

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ABSTRACT. Let A be a Noetherian ring, I be an ideal of A and  $\sigma$  be a semi-prime operation, different from the identity map on the set of all ideals of A. Results of Essan proved that the sets of associated prime ideals of  $\sigma(I^n)$ , which denoted by  $Ass(A/\sigma(I^n))$ , stabilize to  $A_{\sigma}(I)$ . We give some properties of the sets  $S_n^{\sigma}(I) = Ass(A/\sigma(I^n) \setminus A_{\sigma}(I))$ , with n small, which are the sets of  $\sigma$ -sporadic prime divisors of I. We also give some relationships between  $\sigma(f_I)$ -superficial elements and asymptotic prime  $\sigma$ -divisors, where  $\sigma(f_I)$  is the  $\sigma$ -closure of the I-adic filtration  $f_I = (I^n)_{n \in \mathbb{N}}$ .

## 1. INTRODUCTION

Let A be a commutative Noetherian ring and I be a regular ideal of A. A prime ideal  $P \subset A$  is an associated prime of I if there exists an element x in A such that  $P = (I :_A x)$ . The set of associated primes of I, denoted Ass(A/I), is the set of all prime ideals associated to I. A well-known result of Brodmann [2] proved that the sets of associated prime ideals of  $I^n$ , which denote by  $Ass(A/I^n)$ , stabilize to  $A^*(I)$ , that is, there exists a positive integer  $n_0$  such that  $Ass(A/I^n) = Ass(A/I^{n_0})$  for all  $n \geq n_0$ . For small n it may happen that there are prime ideals P with  $P \in Ass(A/I^n) \setminus A^*(I)$ . Such a prime is called a sporadic prime divisor of I. In [7], MacAdam gave some properties of sporadic prime of regular ideals.

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Now let us assume that I is an ideal of A, which is not necessarily regular. Let  $\sigma$  be a semi-prime operation on the set  $\mathcal{I}(A)$  of all ideals of A, with  $\sigma \neq id_{\mathcal{I}(A)}$ . A result of Essan [3] proves that the sequence  $(Ass(A/\sigma(I^n))_{n\in\mathbb{N}^*}$  stabilize to a set denoted  $A_{\sigma}(I)$ , that is  $Ass(A/\sigma(I^n) = A_{\sigma}(I)$  for all large n. For small n it may happen that there are prime ideals P with  $P \in Ass(A/\sigma(I^n)) - A_{\sigma}(I)$ . Such a prime is called a  $\sigma$ -sporadic prime divisor of I. For all integer  $n \geq 1$ , we put  $S_n^{\sigma}(I) = Ass(A/\sigma(I^n)) - A_{\sigma}(I)$  and  $S^{\sigma}(I) = \bigcup_{n \in \mathbb{N}^*} S_n^{\sigma}(I)$ , that is  $S^{\sigma}(I)$  is the set of all  $\sigma$ -sporadic prime of I. Moreover, Essan [4] proves that the sequence  $(Ass(A/(I^n)_{\sigma}))_{n \in \mathbb{N}^*}$ , with  $(I^n)_{\sigma} = \sigma(I^{k+n}) :_A$  $\sigma(I^k)$ ,  $k \gg 0$  is an increasing sequence.

In section 3, we are interested in the  $\sigma$ -sporadic prime of an ideal I of a ring A. We prove that for all integer  $n \geq 1$ ,  $\mathcal{S}_n^{\sigma}(I) \subseteq Ass((I^n)_{\sigma}/\sigma(I^n))$  (cf. Theorem 3.4). We will also prove a generalization of [9], Lemma 2.5. and a generalization of [9], 4.15.

In section 4, we suppose that  $(A, \mathcal{M})$  is a Noetherian local ring with infinite residue field. We put  $\sigma(f_I) = (\sigma(I^n))_{n \in \mathbb{N}}$ , which is the  $\sigma$ closure of the *I*-adic filtration  $f_I = (I^n)_{n \in \mathbb{N}}$ . An element  $x \in I$ is said to be  $\sigma(f_I)$ -superficial if there exists an integer  $n_0$  such that  $(\sigma(I^{n+1}) :_A x) \cap \sigma(I^{n_0}) = \sigma(I^n)$ , for all  $n \geq n_0$ . Let *I* be an  $\mathcal{M}$ primary ideal of the ring *A*. We prove that if  $x \in I$  is a  $\sigma(f_I)$ superficial element, then for all  $n \geq 1$  we have (i)  $((I^{n+1})_{\sigma} : x) =$  $(I^n)_{\sigma}$ , (ii)  $(x) \cap (I^{n+1})_{\sigma} = x(I^n)_{\sigma}$  (Proposition 4.2). It follows that  $\sigma(I^{k+1}) : x = \sigma(I^k)$  and  $\sigma(I^{n+1}) : I = \sigma(I^n)$ , for all  $k \geq \rho_{\sigma}^I(A)$ , with  $\rho_{\sigma}^I(A) = \min\{n \mid (I^i)_{\sigma} = \sigma(I^i) \text{ for all } i \geq n\}$  (Corollary 4.3 and Theorem 4.6).

#### 2. Preliminary

Throughout this paper the letter A will denote a commutative ring with identity.

(1) A filtration on the ring A is a sequence  $f = (I_n)_{n \in \mathbb{N}}$  of ideals of A such that  $I_0 = A$ ,  $I_{n+1} \subseteq I_n$  and  $I_n I_m \subseteq I_{n+m}$  for all  $n, m \in \mathbb{N}$ .

### Definition 2.1. [5]

Let  $\mathcal{I}(A)$  be the set of all ideals of a ring A. We consider the following properties of a map  $\sigma : \mathcal{I}(A) \longrightarrow \mathcal{I}(A)$ :

- (a)  $I \subseteq \sigma(I)$  for all  $I \in \mathcal{I}(A)$
- (b) if  $I \subseteq J$  then  $\sigma(I) \subseteq \sigma(J)$  for all  $I, J \in \mathcal{I}(A)$
- (c)  $\sigma(\sigma(I) = \sigma(I)$

(d)  $\sigma(I)\sigma(J) \subseteq \sigma(IJ)$ ,

(e)  $\sigma(bI) = b\sigma(I)$  for all regular element  $b \in I$ 

Then  $\sigma$  is a semi-prime operation on  $\mathcal{I}(A)$  if (a) - (d) hold for all  $I, J \in \mathcal{I}(A)$ ; it is a prime operation if (a) - (e) hold for all  $I, J \in \mathcal{I}(A)$  and any regular element b of A.

It follows from (d) of Definition 2.1 that  $\sigma(\sigma(I)\sigma(J)) = \sigma(IJ)$  for all  $I, J \in \mathcal{I}(A)$ .

(2) If  $f = (I_n)_{n \in \mathbb{N}}$  is a filtration on the ring A and  $\sigma$  is a semi-prime operation on  $\mathcal{I}(A)$  then  $\sigma(f) = (\sigma(I_n))_{n \in \mathbb{N}}$  is a filtration on A.

(3) Let I be an ideal of A. A filtration  $f = (I_n)_{n \in \mathbb{N}}$  on A is said to be I-good if  $I.I_n \subseteq I_{n+1}$  for all  $n \ge 0$  and there exists  $n_0 \in \mathbb{N}$  such that  $\forall n \ge n_0, I.I_n = I_{n+1}$ . It follows that  $I^n I_{n_0} = I_{n_0+n}, \forall n \ge 1$ .

(4) Let  $(A, \mathcal{M})$  be a Noetherian local ring with infinite residue field  $A/\mathcal{M}$  and  $f = (I_n)_{n \in \mathbb{N}}$  be an *I*-good filtration on *A*. An element  $x \in I$  is said to be *f*-superficial if there is an integer  $n_0$  such that  $(I_{n+1}:_A x) \cap I_{n_0} = I_n$  for all  $n \ge n_0$ .

### 3. $\sigma$ -sporadic prime of an ideal

Throughout this section A is a Noetherian ring, I is a nonzero ideal in A and  $\sigma$  is a semi-prime operation on  $\mathcal{I}(A)$ .

Let  $S \subset A$  be a multiplicative set, that is, suppose that  $1_A \in S$  and  $xy \in S$  for all  $x, y \in S$ . An ideal I of A is said to be *satured* with respect to S (or *S*-satured) in A if for all  $(a, s) \in A \times S$  such that  $as \in I$  we have  $a \in I$ . Let us put  $I_{sat} = \{a \in A/ab \in I \text{ for some } b \in S\}$ . Then  $I_{sat}$  is a *S*-satured ideal of A. It is the intersection of all *S*-satured ideal of A containing I. It is obvious that  $I_{sat} = \bigcup_{s \in S} (I : s)$  and I is a *S*-satured ideal in A if and only if  $I = I_{sat}$ .

Let  $S^{-1}A$  be the ring of fractions of A with respect to S. We put

$$I^{e} = \{ \frac{a}{s} \in S^{-1}A \, / \, a \in I, \, s \in S \},\$$

which is called the *extension* of the ideal I to  $S^{-1}A$ . For any ideal J of  $S^{-1}A$  we put

$$J^c = \{a \in A \mid \frac{a}{1} \in J\}.$$

This is called the *contracted* ideal of J. In these notations, the inclusions  $I \subseteq I^{ec}$  and  $J^{ce} \subseteq J$  follows immediately from the definitions. From the first inclusion we get  $I^e \subseteq I^{ece}$ , but substituting  $J = I^e$  in the second gives  $I^{ece} \subseteq I^e$ , and hence

$$I^{ece} = I^e$$
, and similary  $J^{cec} = J^c$ 

*Remark* 3.1. Let I be an ideal of the ring A. Then we have  $I_{sat} = I^{ec}$ .

Indeed, let  $a \in I^{ec}$ . We have  $\frac{a}{1} \in I^e$ . There exist  $b \in I$  and  $s \in S$ such that  $\frac{a}{1} = \frac{b}{s}$ , that is, there exists  $u \in S$  such that u(as - b) = 0, hence usa = ub with  $ub \in I$  and  $us \in S$ . It follows that  $a \in I_{sat}$  and  $I^{ec} \subseteq I_{sat}$ . Conversely let  $a \in I_{sat}$ . There exists  $s \in S$  such that  $as \in I$ , hence  $\frac{as}{1} \in I^e$ . Since  $\frac{1}{s} \in S^{-1}A$ , we have  $\frac{a}{1} = \frac{1}{s}\frac{as}{1} \in I^e$ , thus  $a \in I^{ec}$ and  $I_{sat} \subseteq I^{ec}$ , therefore  $I_{sat} = I^{ec}$ .

**Proposition 3.2.** The map  $\sigma : \mathcal{I}(A) \longrightarrow \mathcal{I}(A), I \longmapsto \sigma(I) = I_{sat}$  is a semi-prime operation on  $\mathcal{I}(A)$ .

*Proof.* (i) (a), (b), (c) of Definition 2.1 follow immediately from the definition of S-satured ideal.

(ii) Let  $I, J \in \mathcal{I}(A)$  such that  $I \subseteq J$ . For all  $a \in I_{sat}$ , there exists  $s \in S$  such that  $as \in I$ . Since  $I \subseteq J$ ,  $as \in J$ , hence  $a \in J_{sat}$ . This proves that  $I_{sat} \subseteq J_{sat}$ .

(iii) Let  $I, J \in \mathcal{I}(A)$ . For all  $a \in I_{sat}$  and  $b \in J_{sat}$  there exist  $s, u \in S$  such that  $as \in I$  and  $bu \in J$ . It follows that  $absu \in IJ$ , with  $su \in S$ , hence  $ab \in (IJ)_{sat}$  and  $I_{sat}J_{sat} \subseteq (IJ)_{sat}$ .

**Lemma 3.3.** Let P be a prime ideal of the ring A and  $A_P = S^{-1}A$ with  $S = A \setminus P$ . Then the map  $\sigma_P : \mathcal{I}(A_P) \longrightarrow \mathcal{I}(A_P), IA_P \longmapsto I_{sat}A_P$ (where  $I \in \mathcal{I}(A)$ ) is a semi-prime operation on  $\mathcal{I}(A_P)$ .

Proof. We put  $\sigma(I) = I_{sat}$  for all ideal I of A. Let us first prove that  $\sigma_P$  is well-defined. Indeed, let  $I, J \in \mathcal{I}(A)$  such that  $IA_P = JA_P$ , that is  $I^e = J^e$ . Then we have  $I^{ec} = J^{ec}$ , so that  $I_{sat} = J_{sat}$ , hence  $\sigma(I) = \sigma(J)$  and we have  $\sigma(I)A_P = \sigma(J)(A_P)$ , thus  $\sigma_P(IA_P) = \sigma_P(JA_P)$ .

We now prove that  $\sigma_P$  is a semi-prime operation on  $\mathcal{I}(A_P)$ .

(a) Let  $IA_P \in \mathcal{I}(A_P)$ . Since  $I \subseteq \sigma(I)$ , we have  $IA_P \subseteq \sigma(I)A_P$ .

(b) Let  $IA_P \in \mathcal{I}(A_P)$ . Since  $\sigma$  is a semi-prime operation, we have  $\sigma_P[\sigma_P(IA_P)] = \sigma_P[\sigma(I)A_P] = \sigma(\sigma(I))A_P = \sigma(I)A_P$ .

(c) Let  $IA_P, JA_P \in \mathcal{I}(A_P)$  such that  $IA_P \subseteq JA_P$ , that is  $I^e \subseteq J^e$ . Then  $I^{ec} \subseteq J^{ec}$ . By remark 3.1,  $I_{sat} \subseteq J_{sat}$ , that is  $\sigma(I) \subseteq \sigma(J)$ . We have  $\sigma(I)A_P \subseteq \sigma(J)A_P$ , therefore  $\sigma_P(IA_P) \subseteq \sigma_P(JA_P)$ .

$$(d) \ \sigma_P(IA_P)\sigma_P(JA_P) = \sigma(I)A_P\sigma(J)A_P = \sigma(I)\sigma(J)A_P \subseteq \sigma(IJ)A_P = \sigma_P(IA_PJA_P) = \sigma_P(IA_PJA_P).$$

**Theorem 3.4.** Let A be a Noetherian ring and  $\sigma$  be a semi-prime operation on  $\mathcal{I}(A)$ . Suppose that for  $P \in Spec(A)$ , there is a semiprime operation  $\hat{\sigma}_P$  on  $\mathcal{I}(A_P)$  such that  $\hat{\sigma}_P(IA_P) = \sigma(I)A_P, \forall I \in \mathcal{I}(A)$ . Then

- (i)  $I_{\sigma}$  is  $\sigma$ -closed.
- (ii) Let n and q be large enough integers such that for a nonzero ideal I in A, we have  $(I^k)_{\sigma} = \sigma(I^{n+k}) : \sigma(I^n)$  and  $\sigma(I^{q+1}) : I = \sigma(I^q)$  for all  $k \geq 1$ . Then  $(I^{nq})_{\sigma} = \sigma(I^{nq})$  and  $Ass(A/(I^{nq})_{\sigma}) = A_{\sigma}(I)$ .
- (iii) For every integer  $n \ge 1$ ,  $\mathcal{S}_n^{\sigma}(I) \subseteq Ass((I^n)_{\sigma}/\sigma(I^n))$ .

*Proof.* (i) It is sufficient to prove that  $\sigma(I_{\sigma}) \subseteq I_{\sigma}$ . We have  $I_{\sigma} = \sigma(I^{n+1}) : \sigma(I^n)$ , since *n* is large enough. It follows that

$$\sigma(I_{\sigma}) = \sigma[\sigma(I^{n+1}) : \sigma(I^n)] \subseteq \sigma(\sigma(I^{n+1})) : \sigma(\sigma(I^n)) = \sigma(I^{n+1}) : \sigma(I^n)$$

and  $\sigma(I^{n+1}) : \sigma(I^n) = I_{\sigma}$ , (cf. [4], Proposition 3.3), hence  $\sigma(I_{\sigma}) \subseteq I_{\sigma}$ . Since  $\sigma$  is a semi-prime operation on  $\mathcal{I}(A)$ ,  $I_{\sigma} \subseteq \sigma(I_{\sigma})$ , thus  $I_{\sigma} = \sigma(I_{\sigma})$ . (ii) Let n and q be large enough integers such that for an ideal I of A,  $I \neq \{0\}$ , we have  $(I^k)_{\sigma} = \sigma(I^{n+k}) : \sigma(I^n)$  and  $\sigma(I^{q+1}) : I = \sigma(I^q)$ , for all  $k \geq 1$ . It is obvious that  $(I^{nq})_{\sigma} = \sigma(I^{n+nq}) : \sigma(I^n) = \sigma(I^{n(1+q)}) :$  $\sigma(I^n)$ . We put  $J = I^n$ , then  $(J^q)_{\sigma} = \sigma(J^{q+1}) : \sigma(J)$ . It follows that  $\sigma(J)(J^q)_{\sigma} \subseteq \sigma(J^{q+1})$ . Since  $J \subseteq \sigma(J)$ , we have  $J(J^q)_{\sigma} \subseteq \sigma(J^{q+1})$  and  $(J^q)_{\sigma} \subseteq \sigma(J^{q+1}) : J = \sigma(J^q)$ , as q is large enough, thus  $(I^{nq})_{\sigma} \subseteq \sigma(I^{nq})$ . By [4], Proposition 3.2,  $I^m \subseteq (I^m)_{\sigma}$  for all  $m \geq 1$ , hence  $\sigma(I^m) \subseteq$  $\sigma((I^m)_{\sigma}) = (I^m)_{\sigma}$  (we refer to (i)). It follows that  $\sigma(I^m) \subseteq (I^m)_{\sigma}$ , for all  $m \geq 1$ , in particular,  $\sigma(I^{nq}) \subseteq (I^{nq})_{\sigma}$ . Therefore  $(I^{nq})_{\sigma} = \sigma(I^{nq})$ and  $Ass(A/(I^{nq})_{\sigma}) = Ass(A/\sigma(I^{nq})) = A_{\sigma}(I)$ .

(iii) Let 
$$P \in \mathcal{S}_n^{\sigma}(I) = Ass(A/\sigma(I^n)) \setminus A_{\sigma}(I)$$

(a) Suppose that A is a local ring with maximal ideal P. There is  $x \notin \sigma(I^n)$  such that  $P = \sigma(I^n) : x$ . Let us assume that  $(I^n)_{\sigma} : x$  is a proper ideal of A. We have

$$P = \sigma(I^n) : x \subseteq (I^n)_{\sigma} : x \subseteq P$$

hence  $(I^n)_{\sigma} : x = P$  and  $P \in Ass(A/(I^n)_{\sigma})$ . Since  $(Ass(A/(I^n)_{\sigma}))_{n \in \mathbb{N}^*}$ is an increasing sequence and stabilizes to  $A_{\sigma}(I)$  (cf. [4]),  $P \in A_{\sigma}(I)$ . This contradicts the fact that  $P \in \mathcal{S}^{\sigma}_n(I)$ , thus  $(I^n)_{\sigma} : x = A$  and  $x \in (I^n)_{\sigma}$ . It follows that  $P \in Ass((I^n)_{\sigma}/\sigma(I^n))$ .

(b) Suppose that A is not a local ring with maximal ideal P. It is wellknown that  $A_P$  is a local ring with maximal ideal  $PA_P$ . We have  $PA_P \in Ass[A_P/\sigma(I^n)A_P]$  and  $PA_P \notin Ass[A_P/\sigma(I^k)A_P]$ ,  $k \gg 0$ . That is,  $PA_P \in Ass[A_P/\hat{\sigma}_P(I^nA_P)]$  and  $PA_P \notin Ass[A_P/\hat{\sigma}_P(I^kA_P)]$ ,  $k \gg 0$ . Hence,  $PA_P \in Ass[A_P/\hat{\sigma}_P(I^nA_P)] \setminus Ass[A_P/\hat{\sigma}_P(I^kA_P)]$ ,  $k \gg 0$ . By (a), we obtain  $PA_P \in Ass[(I^nA_P)\hat{\sigma}_P(I^nA_P)]$ . We have

$$(I^{n}A_{P})_{\hat{\sigma}_{P}} = \hat{\sigma}_{P}(I^{n+k}A_{P}) :_{A_{P}} \hat{\sigma}_{P}(I^{k}A_{P}) = \sigma(I^{n+k})A_{P} :_{A_{P}} \sigma(I^{k})A_{P}$$
$$= [\sigma(I^{n+k}) :_{A} \sigma(I^{k})]A_{P}, \quad k \gg 0$$

The first equality follows immediately from the definition. Let us prove the second equality. Indeed, let  $w \in [\sigma(I^{n+k}) :_A \sigma(I^k)]A_P$ . There exist  $\alpha \in \sigma(I^{n+k}) :_A \sigma(I^k)$  and  $s \in S = A \setminus P$  such that  $w = \frac{\alpha}{s}$ . For every  $v \in \sigma(I^k)A_P$  there is  $y \in \sigma(I^k)$  and  $t \in S$  such that  $v = \frac{y}{t}$ . We have  $wv = \frac{\alpha}{s} \frac{y}{t} = \frac{\alpha y}{st}$  with  $\alpha y \in \sigma(I^{n+k})$  and  $st \in S$ , hence  $wv \in \sigma(I^{n+k})A_P$ , therefore  $w \in \sigma(I^{n+k})A_P :_{A_P} \sigma(I^k)A_P$  and

$$[\sigma(I^{n+k}):_A \sigma(I^k)]A_P \subseteq \sigma(I^{n+k})A_P:_{A_P} \sigma(I^k)A_P.$$

Conversely, let  $\frac{\alpha}{s} \in \sigma(I^{n+k})A_P :_{A_P} \sigma(I^k)A_P$  and  $(\frac{y_1}{1}, ..., \frac{y_r}{1})$  be a finite system of generators of  $\sigma(I^k)A_P$ . For all i = 1, ..., r we have  $\frac{\alpha}{s}\frac{y_i}{1} = \frac{\alpha y_i}{s} \in \sigma(I^{n+k})A_P$ . Hence there exists  $u_i \in S$  such that  $u_i \alpha y_i \in \sigma(I^{n+k})$ . We put  $u = u_1 u_2 ... u_r$ . For all i = 1, ..., r we have  $u \alpha y_i \in \sigma(I^{n+k})$ , thus  $\alpha u \in \sigma(I^{n+k}) :_A \sigma(I^k)$ , it follows that  $\frac{\alpha}{s} = \frac{\alpha u}{su} \in [\sigma(I^{n+k}) :_A \sigma(I^k)]A_P$  and  $\sigma(I^{n+k})A_P :_{A_P} \sigma(I^k)A_P \subseteq [\sigma(I^{n+k}) :_A \sigma(I^k)]A_P$  so that we get

$$\sigma(I^{n+k})A_P :_{A_P} \sigma(I^k)A_P = [\sigma(I^{n+k}) :_A \sigma(I^k)]A_P.$$

Consequently,

 $Ass[(I^{n}A_{P})_{\hat{\sigma}_{P}}/\hat{\sigma}_{P}(I^{n}A_{P})] = Ass[[\sigma(I^{n+k}):_{A}\sigma(I^{k})]A_{P}/[\sigma(I^{n})]A_{P}].$ Since  $PA_{P} \in Ass[(I^{n}A_{P})_{\hat{\sigma}_{P}}/\hat{\sigma}_{P}(I^{n}A_{P})]$ , it follows that  $PA_{P} \in Ass[[\tau(I^{n+k}):_{A}\sigma(I^{k})]A_{P}] = Ass[[\tau(I^{n+k}):_{A}\sigma(I^{k})]A_{P}].$ 

$$PA_P \in Ass[[\sigma(I^{n+\kappa}):_A \sigma(I^{\kappa})/\sigma(I^n)]A_P] = Ass[[(I^n)_{\sigma}/\sigma(I^n)]A_P],$$
  
hence  $P \in Ass[(I^n)_{\sigma}/\sigma(I^n)]$  and  $\mathcal{S}_n^{\sigma}(I) \subseteq Ass[(I^n)_{\sigma}/\sigma(I^n)].$ 

Remark 3.5. By Lemma 3.3, if  $\sigma = sat$  then  $\hat{\sigma}_P$  exists for every  $P \in Spec(A)$ .

The following proposition is a generalization of [9], Lemma 2.5.

**Proposition 3.6.** Let H be an ideal containing  $I, V = \{P_1, P_2, ..., P_n\}$ be a finite set of associated prime ideals of I such that every  $P_i$  is isoled in V. Suppose that  $\sigma(I)A_Q \subsetneq \sigma(H)A_Q$  for every  $Q \in V$ . Let  $P \in V$ and  $\sigma_P$  be a semi-prime operation on  $\mathcal{I}(A_P)$  such that  $\sigma_P(KA_P) = \sigma(K)A_P$  for all  $K \in \mathcal{I}(A)$ . We put  $J = \sigma(I) + P_1 ... P_n \sigma(H)$ . Then

- (i)  $V \subseteq Ass(A/\sigma(J))$ ,
- (ii) If  $Q \in \mathcal{S}_1^{\sigma}(J)$  and Q contains no  $P \in V$  then  $Q \in \mathcal{S}_1^{\sigma}(H)$ .

*Proof.* Let  $P \in V$ , P is a minimal and maximal element in V. We have  $JA_P = \sigma(I)A_P + PA_P\sigma(H)A_P$ . Since  $\sigma(I)A_P \subsetneq \sigma(H)A_P$ , we have  $JA_P \subsetneq \sigma(H)A_P = \sigma_P(HA_P)$ . We also have  $\sigma_P(JA_P) \subsetneq \sigma_P(HA_P)$  and

 $\sigma(J)A_P \subsetneq \sigma(H)A_P$ , since  $\sigma_P$  is a semi-prime operation on  $\mathcal{I}(A_P)$ . It follows that  $\sigma(J)A_P : \sigma(H)A_P$  is a proper ideal of the local ring  $A_P$ . We have  $PA_P\sigma(H)A_P \subseteq \sigma(I)A_P + PA_P\sigma(H)A_P = JA_P$ , therefore  $PA_P \subseteq \sigma(J)A_P : \sigma(H)A_P$  and  $PA_P = \sigma(J)A_P : \sigma(H)A_P$ , since  $PA_P$ is the maximal ideal of  $A_P$ . Hence  $PA_P \in Ass(A_P/\sigma(J)A_P)$  and  $P \in Ass(A/\sigma(J))$ . This proves that  $V \subseteq Ass(A/\sigma(J))$ .

ii) Suppose  $Q \in \mathcal{S}_1^{\sigma}(J)$  and Q contains no  $P \in V$ . Since  $PA_Q = A_Q$ , we have  $JA_Q = \sigma(I)A_Q + \sigma(H)A_Q$ . It follows that  $\sigma(H)A_Q \subseteq JA_Q$ and  $\sigma(H)A_Q \subseteq \sigma(J)A_Q$ . We have  $JA_Q = \sigma(I)A_Q + \sigma(H)A_Q$  and  $\sigma(I)A_Q \subseteq \sigma(H)A_Q$ , therefore  $JA_Q \subseteq \sigma(H)A_Q = \sigma_Q(HA_Q)$ . It follows that  $\sigma(J)A_Q \subseteq \sigma(H)A_Q$  and  $\sigma(J)A_Q = \sigma(H)A_Q$ . Since  $Q \in \mathcal{S}_1^{\sigma}(J) =$  $Ass(A/\sigma(J)) \setminus A_{\sigma}(J)$ , we have  $QA_Q \in Ass(A_Q/\sigma(J)A_Q) \setminus A_{\sigma_Q}(JA_Q) =$  $Ass(A_Q/\sigma(H)A_Q) \setminus A_{\sigma_Q}(HA_Q)$ , hence  $Q \in Ass(A/\sigma(H)) \setminus A_{\sigma}(H) =$  $\mathcal{S}_1^{\sigma}(H)$ .

**Theorem 3.7.** Let I be a nonzero ideal of the ring A.

- (i) For all  $k \geq 1$ ,  $(I^k)_{\sigma} \subseteq (I^{k-1})_{\sigma}$ .
- (ii)  $((I^n)_{\sigma})_{n \in \mathbb{N}}$  is a filtration on the ring A.
- (iii) Let  $n \ge 1$  be an integer, J be an ideal of A such that  $J \subseteq (I^n)_{\sigma}$ . If  $P \in Ass(A/\sigma(J))$  then  $P \in Ass((I^{n-1})_{\sigma}/\sigma(J))$ . In particular,  $Ass((I^n)_{\sigma}/\sigma(J)) \subseteq Ass((I^{n-1})_{\sigma}/\sigma(J))$ .
- (iv) Let  $n \ge 1$  be an integer. If  $J \subseteq (I^n)_{\sigma}$  then for every integer  $0 \le k < n$ , we have  $Ass(A/\sigma(J)) = Ass((I^k)_{\sigma}/\sigma(J))$ .

*Proof.* (i) Let  $k \in \mathbb{N}^*$  and  $x \in (I^k)_{\sigma}$ , we have  $x\sigma(I^n) \subseteq \sigma(I^{n+k}) \subseteq \sigma(I^{n+k-1})$  for *n* large enough, hence  $x \in (I^{k-1})_{\sigma}$ .

(ii) It is obvious that  $(I^0)_{\sigma} = A_{\sigma} = A$ . We also have  $(I^n)_{\sigma} \subseteq (I^{n-1})_{\sigma}$ (we refer to (i)) and  $(I^p)_{\sigma}(I^q)_{\sigma} \subseteq (I^{p+q})_{\sigma}$  (cf. [4], Proposition 3.2).

(iii) It is clear for n = 1. Assume that n > 1. If  $P \in Ass(A/\sigma(J))$ then there exists  $x \in A \setminus \sigma(J)$  such that  $P = \sigma(J) : x$ . It follows that  $xP \subseteq \sigma(J)$  and  $x \in \sigma(J) : P$ . Since  $I \subseteq P$ , we have  $x\sigma(I) \subseteq \sigma(J)$ and  $x \in \sigma(J) : \sigma(I)$ . We also have  $J \subseteq (I^n)_{\sigma}$ , so that  $\sigma(J) \subseteq (I^n)_{\sigma}$ , since  $(I^n)_{\sigma}$  is  $\sigma$ -closed. Therefore  $x \in (I^n)_{\sigma} : \sigma(I) = (I^{n-1})_{\sigma}$  ([4], Proposition 3.4), hence  $P = \sigma(J) : x$  with  $x \in (I^{n-1})_{\sigma}$  and  $x \notin \sigma(J)$ . It follows that  $P \in Ass((I^{n-1})_{\sigma}/\sigma(J))$ , in particular  $Ass((I^n)_{\sigma}/\sigma(J)) \subseteq$  $Ass((I^{n-1})_{\sigma}/\sigma(J))$  (we refer to (i)).

(iv) By (i) and (iii), we have  $Ass(A/\sigma(J)) \subseteq Ass((I^{n-1})_{\sigma}/\sigma(J)) \subseteq$ ...  $\subseteq Ass(I_{\sigma}/\sigma(J)) \subseteq Ass(A/\sigma(J)).$ 

Theorem 3.7, (*iii*) is a generalization of [9], 4.15.2

**Proposition 3.8.** Let A be a commutative ring with identity and  $\sigma$  be a semi-prime operation on  $\mathcal{I}(A)$ . Let I and J be ideals of A. Assume

that there exists a regular element u of A such that uI = J. For all  $n \in \mathbb{N}^*$  we have  $Ass(A/\sigma(I^n)) \subseteq Ass(A/\sigma(J^n))$ .

*Proof.* Let  $P \in Ass(A/\sigma(I^n))$ . There exists  $x \in A \setminus \sigma(I^n)$  such that  $P = \sigma(I^n) : x$ . For every  $a \in \sigma(I^n) : x$ , we have  $ax \in \sigma(I^n)$ . Therefore  $axu^n \in u^n \sigma(I^n) = \sigma((uI)^n) = \sigma(J^n)$  and  $a \in \sigma(J^n) : xu^n$ . Conversely, if  $b \in \sigma(J^n)$  :  $xu^n$  then  $bxu^n \in \sigma(J^n) = u^n \sigma(I^n)$ . Since u is a regular element,  $u^n$  is a regular element. It follows that  $bx \in \sigma(I^n)$ , hence  $b \in \sigma(I^n)$  : x. We have  $\sigma(I^n)$  :  $x = \sigma(J^n)$  :  $xu^n$ , therefore  $P = \sigma(I^n) : x = \sigma(J^n) : xu^n \text{ and } P \in Ass(A/\sigma(J^n)).$ 

**Corollary 3.9.** Let A be an Artinian ring and  $\sigma$  be a prime operation on  $\mathcal{I}(A)$ . Let x be a regular element of a (regular) ideal I such that the principal ideal (x) is a reduction of I. Then there exists an integer r > 0 such that

- (i) for all  $n \in \mathbb{N}^*$ ,  $Ass(A/\sigma(I^{rn})) \subseteq Ass(A/\sigma(I^{(r+1)n}))$ , (ii)  $\mathcal{S}_r^{\sigma}(I) \subseteq Ass((I^{r-1})_{\sigma}/\sigma(I^{r+1}))$ .

*Proof.* (i) Follows from Proposition 3.8.

(ii) By Theorem 3.4, (iii) we have  $\mathcal{S}_r^{\sigma}(I) \subseteq Ass((I^r)_{\sigma}/\sigma(I^r))$  for all  $r \in \mathbb{N}^*$ . Since  $I^r \subseteq (I^r)_{\sigma}$ , it follows from Theorem 3.7, (iii) that  $Ass((I^r)_{\sigma}/\sigma(I^r)) \subseteq Ass((I^{r-1})_{\sigma}/\sigma(I^r))$ . Since  $xI^r = I^{r+1}$ , it follows from Proposition 3.8 that  $Ass(A/\sigma(I^r)) \subseteq Ass(A/\sigma(I^{r+1}))$ . Now we show that  $Ass((I^{r-1})_{\sigma}/\sigma(I^r)) \subseteq Ass((I^{r-1})_{\sigma}/\sigma(I^{r+1}))$ . Let  $P \in Ass((I^{r-1})_{\sigma}/\sigma(I^r))$ . There exists  $y \in (I^{r-1})_{\sigma} \setminus \sigma(I^r)$  such that  $P = \sigma(I^r) : y$ . Since  $(\sigma(I^n) : y)_{n \in \mathbb{N}}$  is a decreasing sequence of ideals of the Artinian ring A,  $\sigma(I^r): y = \sigma(I^{r+1}): y$  for r large enough. It follows that  $P \in Ass((I^{r-1})_{\sigma}/\sigma(I^{r+1}))$ , hence  $Ass((I^{r-1})_{\sigma}/\sigma(I^{r})) \subseteq$  $Ass((I^{r-1})_{\sigma}/\sigma(I^{r+1})).$ 

**Proposition 3.10.** ([1], Prop. 4) For all  $n \in \mathbb{N}^*$ , there is an ideal  $J_{(n)}$ of the ring A such that  $\mathcal{S}_n^{\sigma}(I) = Ass(J_{(n)}/\sigma(I^n)).$ 

*Proof.* We refer to [1], Chap.4, Proposition 4.

**Proposition 3.11.** Let  $k, m \in \mathbb{N}$  such that k < m.  $J_{(k)}, J_{(m)} \in \mathcal{I}(A)$  such that  $Ass\left(\frac{J_{(k)} \cap J_{(m)}}{\sigma(I^m)}\right) \subseteq S_m^{\sigma}(I)$ . There exist

*Proof.* We use the fact that  $\frac{J_{(k)}\cap J_{(m)}}{\sigma(I^m)} \subseteq J_{(m)}/\sigma(I^m)$ . 

## 4. $\sigma(f_I)$ -superficial elements of an ideal

Throughout this section  $(A, \mathcal{M})$  is a Noetherian local ring with infinite residue field  $K = \frac{A}{M}$  and I is an  $\mathcal{M}$ -primary ideal of the ring A. Let  $\sigma$  be a semi-prime operation on  $\mathcal{I}(A)$ . We put  $\sigma(f_I) = (\sigma(I^n))_{n \in \mathbb{N}}$ , which is the  $\sigma$ -closure of the *I*-adic filtration  $f_I = (I^n)_{n \in \mathbb{N}}$ .

**Definition 4.1.** An element  $x \in I$  is said to be  $\sigma(f_I)$ -superficial if there exists an integer  $n_0$  such that  $(\sigma(I^{n+1}):A x) \cap \sigma(I^{n_0}) = \sigma(I^n)$ , for all  $n \geq n_0$ .

**Proposition 4.2.** Let  $x \in I$  be a  $\sigma(f_I)$ -superficial. For all  $n \geq 1$  we have

- (i)  $((I^{n+1})_{\sigma} : x) = (I^n)_{\sigma},$ (ii)  $(x) \cap (I^{n+1})_{\sigma} = x(I^n)_{\sigma}.$

*Proof.* Suppose that  $x \in I$  is a  $\sigma(f_I)$ -superficial element.

(i) By [4], Proposition 3.2, we have  $x(I^n)_{\sigma} \subseteq I(I^n)_{\sigma} \subseteq (I^{n+1})_{\sigma}$  for all  $n \ge 1$ , hence  $x(I^n)_{\sigma} \subseteq (I^{n+1})_{\sigma}$  and  $(I^n)_{\sigma} \subseteq ((I^{n+1})_{\sigma} : x)$ , for all  $n \ge 1$ . Conversely, let  $a \in ((I^{n+1})_{\sigma} : x)$ , then  $ax \in (I^{n+1})_{\sigma} =$  $\sigma(I^{n+1+k}): \sigma(I^k), \forall k \gg 0$ . It follows that  $a\sigma(I^k) \subseteq (\sigma(I^{n+1+k}): x),$  $\forall k \gg 0$ . Since  $x \in I$  is a  $\sigma(f_I)$ -superficial element, there exists an integer  $k_0$  such that  $(\sigma(I^{m+1}): A_x) \cap \sigma(I^{k_0}) = \sigma(I^m)$ , for all  $m \geq k_0$ . For k large enough, we obtain  $a\sigma(I^k) \subseteq (\sigma(I^{n+1+k}):x)$  and  $a\sigma(I^k) \subseteq$  $\sigma(I^{k_0})$ . Therefore  $a\sigma(I^k) \subseteq (\sigma(I^{n+k+1}): x) \cap \sigma(I^{k_0}) = \sigma(I^{n+k})$  with  $n+k > k_0$ , thus  $a \in \sigma(I^{n+k}) : \sigma(I^k) = (I^n)_{\sigma}, \forall k \gg 0$ . This proves that  $((I^{n+1})_{\sigma}: x) = (I^n)_{\sigma}$ , for all  $n \ge 1$ .

(ii) Let  $n \in \mathbb{N}^*$  and  $y \in (x) \cap (I^{n+1})_{\sigma}$ . There exists  $a \in A$  such y = ax. Since  $y = ax \in (I^{n+1})_{\sigma}$ ,  $a \in (I^{n+1})_{\sigma}$  : x. By (i), we have  $a \in (I^n)_{\sigma}$  and  $ax \in x(I^n)_{\sigma}$ , hence  $(x) \cap (I^{n+1})_{\sigma} \subseteq x(I^n)_{\sigma}$ . Conversely, we have  $x(I^n)_{\sigma} \subseteq I(I^n)_{\sigma} \subseteq I_{\sigma}(I^n)_{\sigma} \subseteq (I^{n+1})_{\sigma}$ , it follows that  $x(I^n)_{\sigma} \subseteq (x) \cap (I^{n+1})_{\sigma}$ . Hence  $(x) \cap (I^{n+1})_{\sigma} = x(I^n)_{\sigma}$ .

By Theorem 3.4, (ii), there exist large enough integers n such that  $(I^n)_{\sigma} = \sigma(I^n)$ . Set  $\rho_{\sigma}^I(A) = \min\{n \mid (I^i)_{\sigma} = \sigma(I^i) \text{ for all } i \ge n\}$ . The fact that such an integer  $\rho_{\sigma}^{I}(A)$  may exist follows from [8], 2.6.

**Corollary 4.3.** If  $x \in I$  is a  $\sigma(f_I)$ -superficial, then  $\sigma(I^{i+1}) : x = \sigma(I^i)$ for all  $i \geq \rho_{\sigma}^{I}(A)$ .

*Proof.* Let  $x \in I$  be a  $\sigma(f)$ -superficial element. By Proposition 4.2,  $(I^{i+1})_{\sigma}: x = (I^i)_{\sigma}, \forall i \ge 1$ . For all  $i \ge \rho_{\sigma}^I(A), (I^i)_{\sigma} = \sigma(I^i)$ . It follows that  $\sigma(I^{i+1}): x = \sigma(I^i)$  for all  $i \ge \rho_{\sigma}^I(A)$ . 

**Lemma 4.4.** Let  $n \in \mathbb{N}^*$ . If  $x \in I$  is a  $\frac{A}{\sigma(I^{n+1})}$ -regular element then  $\sigma(I^{n+k}): x^k = \sigma(I^{n+1}): x \text{ for all } k \ge 1.$ 

Proof. Let  $n, k \in \mathbb{N}^*$ . If  $a \in \sigma(I^{n+k}) : x^k$  then  $ax^k \in \sigma(I^{n+k}) \subseteq$  $\sigma(I^{n+1})$ . It follows that  $x(ax^{k-1} + \sigma(I^{n+1})) = \overline{0}$  and since  $x \in I$ is a  $\frac{A}{\sigma(I^{n+1})}$ -regular element,  $ax^{k-1} \in \sigma(I^{n+1})$ . By iterating we get  $ax \in \sigma(I^{n+1})$  et  $a \in \sigma(I^{n+1}) : x$ . Conversely, if  $a \in \sigma(I^{n+1}) : x$  then  $ax^k \in I^{k-1}\sigma(I^{n+1}) \subseteq \sigma(I^{n+k})$  and  $a \in \sigma(I^{n+k}) : x^k$ . **Lemma 4.5.** Let  $n \ge \rho_{\sigma}^{I}(A)$ . If  $x \in I$  is both a  $\sigma(f)$ -superficial and a  $\frac{A}{\sigma(I^{n+1})}$ -regular element then  $\sigma(I^{n+k}): x^{k} = \sigma(I^{n})$  for all  $k \ge 1$ .

*Proof.* Follows from Corollary 4.3 and Lemma 4.4.

In [3], Lemma 1, the author proved that if A is a Noetherian ring and  $k \geq 1$  such that I is an ideal of A containing a  $\frac{A}{\sigma(I^{k+1})}$ -regular element then there exists an integer  $m_0 > k$  such that  $\sigma(I^{m_0+1}) : I = \sigma(I^{m_0})$ . He also proves Theorem 5 [3], assuming that condition  $(E_{\sigma}) \quad \sigma(I^{n+1}) : I = \sigma(I^{n_0})$ .

**Theorem 4.6.** If  $x \in I$  is a  $\sigma(f_I)$ -superficial element, then  $\sigma(I^{n+1})$ :  $I = \sigma(I^n)$ , for all  $n \geq \rho_{\sigma}^I(A)$ .

Proof. If I = xA and x is  $\sigma(f)$ -superficial element, then  $\sigma(I^{n+1}) : I = \sigma(I^{n+1}) : x = \sigma(I^n)$  for all  $n \ge \rho_{\sigma}^I(A)$ . Suppose that  $I \ne xA$  and  $x \in I$  is a  $\sigma(f)$ -superficial element. Let  $n \ge \rho_{\sigma}^I(A)$  be an integer and  $a \in \sigma(I^{n+1}) : I$ , then  $aI \subseteq \sigma(I^{n+1})$  and  $ax \in \sigma(I^{n+1})$ , hence  $a \in \sigma(I^{n+1}) : x = \sigma(I^n)$  by Corollary 4.3. It follows that  $\sigma(I^{n+1}) : I \subseteq \sigma(I^n)$ , pour tout  $n \ge \rho_{\sigma}^I(A)$ . Conversely, let  $n \ge 1$  be an integer. If  $a \in \sigma(I^n)$ , then  $aI \subseteq I\sigma(I^n) \subseteq \sigma(I)\sigma(I^n) \subseteq \sigma(I^{n+1})$ , thus  $a \in \sigma(I^{n+1}) : I$  and  $\sigma(I^n) \subseteq \sigma(I^{n+1}) : I$ . It follows that  $\sigma(I^{n+1}) : I = \sigma(I^n)$  for all  $n \ge \rho_{\sigma}^I(A)$ .

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